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** Admitted in TX only

#10
COPY OF PAPERS
ORIGINAL FILED

September 9, 2002

Commissioner for Patents
Washington, D.C. 20231

Attn: Office of Initial Patent Examination
Customer Service Branch

Re: U.S. Patent Application Serial No. 09/932,677 ✓
Applicants: Weaver, *et al.*
Filed: August 16, 2001
Title: *ANTI-EPILEPTOGENIC AGENTS* ✓
Attorney Docket No.: NCI-006DV1

RECEIVED

OCT 07 2002

TECH CENTER 1600/2900

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Request for Correction of Updated Filing Receipt;
2. Copy of Updated Filing Receipt with correction marked in red; and
3. Prepaid acknowledgment postcard.

No costs are believed to be due in connection with the filing of this Request. However, please charge any necessary fees in connection with the enclosed filing to our Deposit Order Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. *For this purpose, a duplicate of this sheet is attached.*

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, Attn: Office of Initial Patent Examination, Customer Service Branch on:

September 9, 2002

Date

Theodore R. West Reg. No. 47,202

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Theodore R. West
Registration No. 47,202
Agent for Applicants

Date: September 9, 2002



FILE COPY

Commissioner for Patents
Washington, DC 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 5945

SERIAL NUMBER 09/932,677	FILING DATE 08/16/2001 RULE	CLASS 514	GROUP ART UNIT 1624	ATTORNEY DOCKET NO. NCI-006DV1
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APPLICANTS
 Donald F. Weaver, Kingston, CANADA;
 Paul H. Milne, London, CANADA;
 Christopher Y.K. Tan, Kingston, CANADA;
 John R. Carran, Kingston, CANADA;

**** CONTINUING DATA *******
 This application is a DIV of 09/041,371 03/11/1998 PAT 6,306,909
 which claims benefit of 60/041,140 03/12/1997
 and claims benefit of 60/073,536 02/03/1998

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED
 ** 09/20/2001

Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Examiner's Signature _____ Initials _____	STATE OR COUNTRY CANADA	SHEETS DRAWING 4	TOTAL CLAIMS 73	INDEPENDENT CLAIMS 2
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ADDRESS
000959

TITLE
Anti-epileptogenic agents

FILING FEE RECEIVED 832	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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RECEIVED

OCT 07 2002

TECH CENTER 1600/2900

In re the application of: Donald F. Weaver, *et al.*

Serial No.: 09/932,677

Filed: August 16, 2001

For: *Anti-Epileptogenic Agents*

Attorney Docket No.: NCI-006DV1

Group Art Unit: 1624

Examiner: Deepak R. Rao

Commissioner for Patents
Washington, D.C. 20231

Attn: Office of Initial Patent Examination
Customer Service Branch

CERTIFICATE OF FIRST CLASS MAILING

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September 9, 2002

Date of Signature and of Mail Deposit
and of Mail Deposit

By

Theodore R. West
Registration No. 47,202
Agent for Applicants

REQUEST FOR CORRECTION OF UPDATED FILING RECEIPT

Dear Sir:

The Updated Filing Receipt for the above-identified patent application *incorrectly* lists the middle initial of Inventor Weaver. His name appears as:

Donald E. Weaver

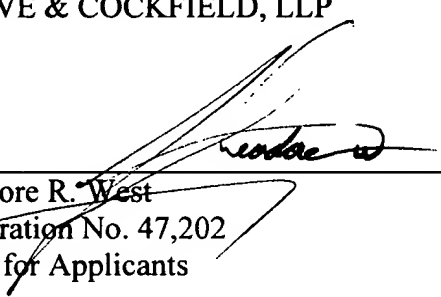
instead of

Donald F. Weaver

A copy of the Updated Filing Receipt with this change noted in red is submitted. No fee is believed due to effect the requested correction. However, if a fee is due in connection with this request, please charge the fee to our Deposit Account No. 12-0080.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP



Theodore R. West
Registration No. 47,202
Agent for Applicants

28 State Street
Boston, MA 02109
Tel. (617) 227-7400

Date: September 9, 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/932,677	08/16/2001	1624	832	NCI-006DV1	4	73	2

CONFIRMATION NO. 5945

000959
LAHIVE & COCKFIELD
28 STATE STREET
BOSTON, MA 02109

FILING RECEIPT



OC000000006589926

Date Mailed: 09/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Donald E. Weaver, Kingston, CANADA; Donald F. Weaver
Paul H. Milne, London, CANADA;
Christopher Y.K. Tan, Kingston, CANADA;
John R. Carran, Kingston, CANADA;

Assignment For Published Patent Application

Queen's University at Kingston;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/041,371 03/11/1998
WHICH CLAIMS BENEFIT OF 60/041,140 03/12/1997
AND CLAIMS BENEFIT OF 60/073,536 02/03/1998

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OCT 07 2002

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Foreign Applications

If Required, Foreign Filing License Granted 09/20/2001

Projected Publication Date: 01/03/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

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LAHIVE & COCKFIELD
DOCKET DEPT.
SEP 24 2001
RETRIEVED: <u>hac 10/11</u>
FORWARDED: <u>10/25 d</u>



In the application of Donald F. Weaver, et al.
No. 09/932,677
Filed August 16, 2001
For Anti-Epileptogenic Agents

Case Docket No. NCI-006DV1

GP 1624

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SEP 19 2002

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COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified application are the following:

- ☒ Amendment and Response (18 pages);
- ☒ Request for Two-Month Extension of Time (1 page);
- ☒ Copy of Request for Corrected Filing Receipt; and
- ☒ Return mailroom postcard.

The fee has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL * 13	MINUS ** 73	= 0
INDEP. * 3	MINUS *** 3	= 0

☐ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM

SMALL ENTITY	
RATE	ADDIT. FEE
x 9 =	\$0.00
x 42 =	\$0.00
+140 =	\$0.00
TOTAL ADDIT. FEE	\$0.00

OTHER THAN A SMALL ENTITY	
RATE	ADDIT. FEE
x 18 =	\$0.00
x 84 =	\$0.00
+ 280 =	\$0.00
TOTAL	\$0.00

- * If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ A check in the amount of _____ is enclosed for presentation of extra claims.
- ☐ A check in the amount of _____ is enclosed for .
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.
 - ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
 - ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Please charge any additional fees or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed. Applicants request any extensions of time necessary to respond.

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

September 9, 2002

Date

Signature of Person Mailing

LAHIVE & COCKFIELD, LLP
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